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PATENT

EXPRESS MAIL LABEL NO. EV510808507US  
DATE OF DEPOSIT: April 21, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Berzofsky *et al.*

**Application No.** \_\_\_\_\_

**Filed:** Herewith

**Confirmation No.** \_\_\_\_\_

**For:** METHODS TO PREVENT TUMOR  
RECURRENCE BY BLOCKADE OF TGF-  
BETA

**Examiner:** \_\_\_\_\_

**Art Unit:** \_\_\_\_\_

**Attorney Reference No.** 4239-67016-02

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**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. § 1.97(b)(2)**

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Copies of United States patents and United States published patent applications do not have to be provided to the Patent Office (37 C.F.R. 1.98(a)(2)(ii)). Copies of unpublished U.S. applications do not have to be provided, as long as the application is available on PAIR, as this requirement of 37 C.F.R. § 1.98(a)(2)(iii) has been waived by the United States Patent and Trademark Office pursuant to the Official Gazette Notice on October 19, 2004 (1287 OG 163). Applicants will provide copies of such patents or applications upon request.

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Applicants filed this Information Disclosure Statement ("IDS") within three months of the date of entry of the national stage as set forth in § 1.491 in an international application. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this IDS, authorization to charge any such fees to Deposit Account No. 02-4550 is provided on the accompanying transmittal letter.

The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By   
Anne Carlson, Ph.D.  
Registration No. 47,472

One World Trade Center, Suite 1600  
121 S.W. Salmon Street  
Portland, Oregon 97204  
Telephone: (503) 226-7391  
Facsimile: (503) 228-9446

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	Application Number	
	Filed	Herewith
	First Named Inventor	Berzofsky
	Art Unit	
	Examiner Name	

**U.S. PATENT DOCUMENTS**

Copies of U.S. Patent documents do not need to be provided, unless requested by the Patent and Trademark Office. For patents, provide the patent number and the issue date. For published U.S. applications, provide the publication number and the publication date. For unpublished pending patent applications, provide the application number and the filing date.

Examiner's Initials*	Cite No. (optional)	Number	Publication Date	Name of Applicant or Patentee
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		5,844,099	1 Dec 1998	Stahl <i>et al.</i>
		6,046,165	4 April 2000	Laughon <i>et al.</i>
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		6,419,928	16 July 2002	Dasch <i>et al.</i>
		2003/0125251	3 July 2003	Wakefield <i>et al.</i>

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials*	Cite No. (optional)	Country	Number	Publication Date	Name of Applicant or Patentee
		WIPO/PCT	WO 97/49421	31 Dec 1997	Regents of the University of Minnesota
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Attorney Docket Number	4239-67016-02
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